PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10809637		
	Filing Date		2004-03-24		
INFORMATION DISCLOSURE	First Named Inventor	Jun F	FENG		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1624		
(Not for Submission under 57 57 K 1.55)	Examiner Name	Kahsa	y Habte		
	Attorney Docket Numb	er	DPP4-5004-C2		

	-	··· · · ·			U.S.I	PATENTS	r		Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D)ate	Name of Pate of cited Docu	Pages,Columns,Lines who Relevant Passages or Rel Figures Appear				
	1					/					
If you wish	h to ac	dd additional U.S. Pater	t citation	n inform	ation pl	ease click/the	Add button.		Add	•	
			U.S.P	ATENT	APPLIC	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Name of Patentee or Applicant				Pages,Columns,Lines where Relevant Passages or Relev Figures Appear			
	1										
If you wisl	h to ac	dd additional U.S. Publis	shed Ap	plication	citation	n information p	lease click the Add	button	Add		
				FORÉIG	N PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No		Country Code ²	,	Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	∍ or V F	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		T5
	1										
If you wish	n to ac	dd additional Foreign Pa	itent Do	cument	citation	information pl	ease click the Add	button	Add		
			NON	-PATEN	IT LITE	RATURE DO	CUMENTS		Remove	-	
Examiner Initials*	Cite No	Include name of the au (book, magazine, journ publisher, city and/or c	nal, seria	al, symp	osium, (catalog, etc), d					T5

Application Number 10809637 Filing Date 2004-03-24 INFORMATION DISCLOSURE First Named Inventor Jun FENG STATEMENT BY APPLICANT Art Unit 1624 (Not for submission under 37 CFR 1.99) **Examiner Name** Kahsay Habte Attorney Docket Number DPP4-5004-C2 Duplicate Somasekhara et al. Indian Journal of Pharmacey (1972), 34(5), 121-2. If you wish to add additional non-patent literature document citation information please click the Add button

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if

EXAMINER SIGNATURE

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a

Date Considered

Examiner Signature

English language translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number		10809637		
	Filing Date		2004-03-24		
	First Named Inventor	First Named Inventor Jun Feng			
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1624		
(Not for Submission under or of K 1.33)	Examiner Name	Kahs	ay Habte		
	Attorney Docket Numb	er	DPP4-5004-C2		

					U.S.	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Pate of cited Docu	entee or Applicant ment	Releva		Lines where	
	1										
If you wis	h to a	dd additional U.S. Pater	nt citation	n inform	ation pl	ease click the	Add button.		Add		
			U.S.P.	ATENT	APPLI	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition	of cited Document			Pages,Columns,Lines where Relevant Passages or Releva Figures Appear		
	1	·			•						
If you wisl	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	lease click the Add	button	Add		
				FOREIG	SN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		T5
KN	1	WO 01/23364 A1	WO		1	2001-04-05	MERCK PATENT (GMBH			
If you wisl	h to ac	dd additional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	button	Add		
			NON	-PATEN	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*	Cite No	Include name of the au (book, magazine, journ publisher, city and/or of	nal, seria	al, symp	osium,	catalog, etc), c					Ţ5

Af my M

Application Number 10809637 Filing Date 2004-03-24 INFORMATION DISCLOSURE First Named Inventor Jun Feng STATEMENT BY APPLICANT Art Unit 1624 (Not for submission under 37 CFR 1.99) **Examiner Name** Kahsay Habte Attorney Docket Number DPP4-5004-C2 Duplica Je STN Printout, Barnickel et al. Abstract of WO 01/23364 A1. If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature Date Considered** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if

English language translation is attached.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number		10809637		
	Filing Date		2004-03-24		
	First Named Inventor Jun't		un Feng		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1624		
(Not for Submission under 57 Of K 1.55)	Examiner Name	Kahsa	ahsay Habte		
	Attorney Docket Numb	er	DPP4-5004-C2		

					U.S.I	PATENTS			Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C)ate	Name of Pate of cited Docu	entee or Applicant Iment	Releva	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
	1							•					
If you wis	h to a	dd additional U.S. Pater	nt citation	n inform	ation pl	ease click the	Add button.		Add				
		·	U.S.P.	ATENT	APPLI	CATION PUB	LICATIONS		Remove				
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition		of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1			·									
If you wisl	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	please click the Add	button	Add				
				FOREIG	3N PAT	ENT DOCUM	ENTS		Remove				
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	or \ F	vhere Rel	or Relevant	T5		
KM	1	EP 900568 A2	EP			1999-03-10	Chenard, Bertrand al.	Leo et	-				
KN	2	WO 200123364 A1	wo	wo .		2001-04-05	MEDERSKI, Werne	r et al.					
If you wisl	h to ac	dd additional Foreign Pa	atent Do	cument	citation	information pl	lease click the Add	button	Add				
			NON	-PATEN	NT LITE	RATURE DO	CUMENTS		Remove				

MMM

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10809637					
Filing Date		2004-03-24					
First Named Inventor	Jun F	reng					
Art Unit		1624					
Examiner Name	Kahs	say Habte					
Attorney Docket Number		DPP4-5004-C2					

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (who (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), publisher, city and/or country where published.		T5						
	1	Somasekhara et al. Indian Journal of Pharmacy (1972), 34(5), 121-2.								
	2	Abstract of EP 900568 that shows the prior art compounds (three pages).	(1999)							
	3	Abstract of Pattanaik et al. Indian Journal of Chemistry, Section B; Organic Chemis (1998), 37B (12), 1304-1306. (three pages)	bstract of Pattanaik et al. Indian Journal of Chemistry, Section B; Organic Chemistry including Medicinal Chemistry 998), 37B (12), 1304-1306. (three pages)							
	4	Abstract of Barnickel et al. that shows the prior art compounds (one page).	Abstract of Barnickel et al. that shows the prior art compounds (one page). (2001)							
If you wis	h to ac	dd additional non-patent literature document citation information please click	the Add button Add							
		A A EXAMINER SIGNATURE								
Examiner	Signa	ature Date Con	sidered 3//3/2007							
		nitial if reference considered, whether or not citation is in conformance with N conformance and not considered. Include copy of this form with next comm								
Standard ST ⁴ Kind of doo	r.3). ³ F cument	of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued For Japanese patent documents, the indication of the year of the reign of the Emperor must pret by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if poss ranslation is attached.	cede the serial number of the patent doc	ument.						

INFORMATION DISCLOSURE	Application Number		10809637		
	Filing Date		2004-03-24		
	First Named Inventor Jun F		in Feng		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1624		
(Not for Submission under or or it 1.00)	Examiner Name	Kahsa	say Habte		
	Attorney Docket Numb	er	DPP4-5004-C2		
		•			

					U.S.	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D)ate	Name of Pate of cited Docu	entee or Applicant ument	Pages,Columns,Lines wher Relevant Passages or Rele Figures Appear			
	1										
If you wisl	h to a	l dd additional U.S. Pate	nt citatio	l n inform	ation pl	ease click the	Add button.	<u> </u>	Add	~	
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	de Publication Name of Patentee of Applicant				Releva	Columns,Lir nt Passages Appear		
	1									•	
If you wisl	n to a	dd additional U.S. Publi	ished Ap	plication	citation	n information p	olease click the Add	d button	Add		
			-	FOREIC	N PAT	ENT DOCUM	IENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	or V F	Pages,Colum where Releva Passages or Figures Appe	ant Relevant	T5
KN	1	WO 02/20488 A2	wo			2002-03-14	F. Hoffmann - La Roche AG		·		
If you wish	n to a	l dd additional Foreign P	atent Do	cument	citation	information pl	lease click the Add	button	Add		L
			NON	I-PATEN	IT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*	Cite No	Include name of the a (book, magazine, jour publisher, city and/or	nal, seria	al, sympe	osium,	catalog, etc), o					T 5

AMM

Application Number 10809637 Filing Date 2004-03-24 INFORMATION DISCLOSURE First Named Inventor Jun Feng STATEMENT BY APPLICANT Art Unit 1624 (Not for submission under 37 CFR 1.99) **Examiner Name** Kahsay Habte Attorney Docket Number DPP4-5004-C2 1 Add If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature** Date Considered *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if

English language translation is attached.

	Application Number		10809637		
INFORMATION BIGGI COURT	Filing Date		2004-03-24		
INFORMATION DISCLOSURE	First Named Inventor Jun F		Feng		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1624		
(Not for Submission ander or of K 1.50)	Examiner Name	Kahsa	ay Habte		
	Attorney Docket Numb	er	DPP4-5004-C2		

,					U.S.I	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Da	ate	Name of Pate of cited Docu	entee or Applicant ment	Releva	Pages,Columns,Lines where Relevant Passages or Releva Figures Appear		
	1					مهر مد	and the second s				
If you wis	h to ac	dd additional U.S. Pater	t citation	n informat	tion pl	ease click the	Add button.		Add		
			U.S.P.	ATENT A	PPLI	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite No			of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
	1										
If you wisl	h to ac	ld additional U.S, Publis	hed Ap	plication c	citation	n information p	lease click the Add	button.	Add	•	
		<i></i>		FOREIGN	N PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No		Country Code ²		Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document	or w	ages,Columns,Lines here Relevant assages or Relevant igures Appear	T5	
	1										
If you wish	n to ac	ld additional Foreign Pa	tent Do	cument ci	tation	information pl	ease click the Add	button	Add	.	
			NON	-PATENT	LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*	Cite No	Include name of the au (book, magazine, journ publisher, city and/or c	al, seria	ıl, sympos	sium, d	catalog, etc), d	the article (when a late, pages(s), volu	opropria Ime-issu	te), title of the item le number(s),	T 5	

AMM

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10809637
Filing Date		2004-03-24
First Named Inventor	Jun F	eng
Art Unit		1624
Examiner Name	Kahsa	ay Habte
Attorney Docket Numb	er	DPP4-5004-C2

Kn	1	Caira M R: "Crystalline Polymorphism of Organic Con 198, 1998, pages 163-208, XP001156954 ISSN: 0340		Springer, Berlin, DE, Vol.
If you wis	h to a	d additional non-patent literature document citati	on information please click the Add b	outton Add
		// EXAMINER	SIGNATURE	
Examiner	Signa	ture // ///	Date Considered	3/13/2007
		itial if reference considered, whether or not citation conformance and not considered. Include copy of		
Standard ST	Γ.3). ³ F cument	f USPTO Patent Documents at www.USPTO.GOV or MPEP sor Japanese patent documents, the indication of the year of the appropriate symbols as indicated on the document uncanslation is attached.	he reign of the Emperor must precede the ser	rial number of the patent document

	Application Number Filing Date		10809637 2004-03-24
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Jun F	ENG
(Not for submission under 37 CFR 1.99)	Art Unit		1624
(1.001.01.022	Examiner Name	Kahsa	ay Habte
	Attorney Docket Numb	er	DPP4-5004-C2

		-	Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	of cited Document		Columns,Lines where int Passages or Relevant is Appear
	1		-				
If you wis	h to a	dd additional U.S. Pater	t citatio	n information p	lease click the Add button.		Add
			U.S.P	ATENT APPLI	CATION PUBLICATIONS		Remove
Examiner Initial* Cite Initial* Publication Number Kind Code ¹ Publication Date Name of Patentee or Applicant Relevant Pass Figures Appear			Columns,Lines where nt Passages or Relevant s Appear				
KM	1	20040242566		2004-12-02	Jun Feng et al.	DPP4-5	004-C3
	2	20060135767		2006-06-22	Jun Feng et al.	DPP4-5	010-U
	3	20050070535	,	2005-03-31	Jun Feng et al.	DPP4-5	013-U
*	4 20050070530			2007-02-01	Jun Feng et al.	DPP4-5	013-C1
	5	20050070706		2005-03-31	Jun Feng et al.	DPP4-5	013-C2

Afrym

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10809637
Filing Date	-	2004-03-24
First Named Inventor	Jun F	ENG
Art Unit		1624
Examiner Name	Kahs	ay Habte
Attorney Docket Numb	er	DPP4-5004-C2

KM 6		20050065148		2005-03	3-31	Jun Feng et al	i.	DPP4-	5013-C3		
	7	20050070531		2005-03-31		Jun Feng et al.		DPP4-5013-C4			
	8	20050075330		2005-04-07 J		Jun Feng et al.		DPP4-5013-C5			
	9	20050065145	·	. 2005-03-24 S		Sheldon Cao et al.		DPP4-5014-U			
	10	20050065144		2005-03-24		Jun Feng et al.		DPP4-5015-U			
	11	20050261271		2005-11	1-24	Jun Feng et al.		DPP4-	5016-U		
If you wis	h to a	dd additional U.S. Publ	ished Ap	plication	citation	n information p	please click the Add	d buttor	n. Add		
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²			Publication Date	Name of Patented Applicant of cited Document	e or	where Rele	or Relevant	T _E
	1				•						
If you wis	h to a	dd additional Foreign P	atent Do	cument	citation	information pl	ease click the Add	button	Add		
			NON	I-PATEN	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*	Examiner nitials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									T 5	

Af myh

Application Number 10809637 Filing Date 2004-03-24 INFORMATION DISCLOSURE First Named Inventor Jun FENG STATEMENT BY APPLICANT Art Unit 1624 (Not for submission under 37 CFR 1.99) **Examiner Name** Kahsay Habte Attorney Docket Number DPP4-5004-C2 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature Date Considered** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901,04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known			
	Application Number	10/809,637			
INFORMATION DISCLOSURE		March 24, 2004			
STATEMENT BY APPLICANT	First Named Inventor	Jun FENG			
	Group Art Unit	1624			
(use as many sheets as necessary)	Examiner Name				
Sheet 1 of 2	Attorney Docket Number	SYR-DPP-IV-5004-C2	_		

			U.S. PATENT	OCUMENTS		
Examiner	Cite	Document Number	Publication Date/	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials *	No.	Number - Kind Code ² (# known)	Issue Date MM-DD-YYYY	Cited Document		
KN	AA	US1998/5798344	12-25-1998	Kuroki et al.		
1	AB	US2002/0169159	11-14-2002	Medina et al.		
11/	AC	US2003/0069234	4-10-2003	Medina et al.		

	· ·	FOREIGN PA	ATENT DOCU	IMENTS		
		Foreign Patent Document			Pages, Columns, Lines, Whom	
Examiner Initials*	Cite No. 1 Country Code ³ - Number ⁴ - Kind Code ⁵ (# Innown)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	70
KI	AD	BR 699812	11-29-1950	The Distillers Company Limited		
1	AE	53005180 A	01-18-1978	Sumitomo Chem		·
	AF	EP 1136482 A1	09-28-2001	Sanofi-Synthelabo		
	AG	WO 01/96295 A2	12-20-2001	Novartis AG		
	AH	WO 02/02580 A2	01-10-2002	Novo Nardisk		
1	AJ	WO 03/000181 A2	01-03-2003	Merck & Co., Inc.		
_	AJ	WO 03/007888 A2	01-30-2003	Adipogenby, Inc.		
	AK	WO 03/027080 A1	04-03-2003	Mitsubishi Pharma		
	AL	WO 03/037888 A1	05-08-2003	Mitsubishi Pharma		
	AM	WO 03/076418 A1	09-18-2003	X-Ceptor Therapeutics, Inc.		
11/	AN	WO 04/082613 A2	07-29-2004	Bristol-Myers Squibb		
	AO	WO 04/085408 A1	10-07-2004	Mitsubishi Pharma		

	////	1/1/1		
Examiner Signature	141	1/1/	Date Considered	3/13/2007
*EXAMINER Init	ial if reference considered w	thether or not citatio	on le la conformazion with MDED	200 Describe a throught about a 16 and a

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and n considered, include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC/SB/08A (08-03)
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

(Substitut	o for form 1449A/PTC	0		Complete If Known			
		•			Application Number	10/809,637		
	INFO	RMATION	DIS	CLOSURE	Filing Date	March 24, 2004		
	STA	TEMENT B	YA	PPLICANT	First Named Inventor	Jun FENG		
					Group Art Unit	1624		
	(use as many sheets as necessary)			necessary)	Examiner Name	·		
C	Sheet	2	of	2	Attorney Docket Number	SYR-DPP-IV-5004-C2		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
KH	АР	DEY, PARAMITA D., et al., Regioselective [4+2] Cycloaddition versus Nucleophilic Reactions of N-Arylamino Substituted 1,3-Diaza-1,3-Butadienes with Ketenes; Synthesis of Pyrimidinone and Fused Pyrimidione Derivatives. Part II. Tetrahedron, Vol. 53, No. 40, pp. 13829-13840, 1997.	
	AQ	JAKUBKIENE, VIRGINIJA, et al., (G-Methyl-2methylsulfanyl-4-oxo-3,4-dlhydro-3-pyrimidinyl)acetic acid and related compounds exhibiting anti-inflammatory activity. Pharmazie 57 (2002) 9, pp. 810-813.	
1	AR	JONES, TERENCE R., et al., Azafluorenes Containing Two Bridgehead Nitrogen Atoms. Journal of the Chemical Society, Perkin Transactions 1, No. 12, December 1987, pp. 2585-2592.	
	AS	SHARMA, ARUN K., et al. Tandem sigmatropic shifts in [4 + 2] cycloaddition reactions of 1,3-dlazabuta-1,3-dlenes with butadlenylketene: synthesis of pyrimidinone derivatives. J. Chem. Soc., Perkin Trans. 1, 2002, 774-784.	
	AT	Database Crossfire Bellstein Institut Zur Foerderung Der Chmischen Wissenschaften. XP-002310120. Bellstein Registry Number 638238 & Synthetic Procedures in Nucleic Acid Chemistry, Vol. 1, 1968, page 92.	
	AU	Database Crossfire Beilstein Institut Zur Foerderung Der Chmischen Wissenschaften, XP-002310119, Beilstein Registry Number 649497 & J. Pharm. Sci. Vol. 80, No. 7, 1991, pp. 705-706.	
	AV	Database CA Online Chemical Abstracts Service, Columbus, OH, US; Troschuetz, Reinhard et al., The reaction of O-functional benzylmalononitries with N-bisnucleophiles as well as alcoholates, XP-002311761 retrieved from STN Database accession No. 1994:217538 abstract & Archiv Der Pharmazie (Winheim, Germany), 326(11), 865-9 Coden: ARPMAS; ISSN: 0365-8233, 1993.	
	AW	Database Crossfire Bellstein Institut Zur Foerderung Der Chmischen Wissenschaften, XP-002310121, Bellstein Registry Number 7289032 & Nucleosides Nucleosides, Vol. 14, No. 3-5, 1995, pp. 653-656.	
	AX	Database Crossfire Belistein Institut Zur Foerderung Der Chmischen Wissenschaften, XP-002310118, Belistein Registry Number 7643826 & KHIM, Geterotsikl, Soedin., Vol. 32, No. 5, 1998, pp. 703-707.	
	AY	Database Crossfire Belistein Institut Zur Foerderung Der Chmischen Wissenschaften. XP-002310117. Belistein Registry Number 8373244 & KHIM. Geterotslid. Soedin., No. 8, 1998, pp. 1125-1129.	

	11 , //		
Examiner Signature	SKMIN	Date Considered	3/13/2007

EXAMINER: Initial if reference considered/whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with flext communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is ettached. This collection of Information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	~					
Substitute for form 1449A/PTO					Complete if Known	
				Application Number	10/809,637	
INFC	RMATION	DIS	CLOSURE	Filing Date	March 24, 2004	
STA	TEMENT B	YA	PPLICANT	First Named Inventor	Jun FENG	
• • • •				Group Art Unit .	1624	
(use as many sheets as necessary)		necessary)	Examiner Name	Kahsay Habte		
Sheet	1	of	2	Attorney Docket Number	DPP4-5004-C2	

Under the Ray

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date/	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
initials	No.	Number - Kind Code ² (if known)	Issue Date MM-DD-YYYY	Ones obtained	Passages or Relevant Figures Appear			
KN	AA	US 3,544,570	12-1-1970	Helmut Timmler et al.				

		FOREIGN PA	TENT DOCU	IMENTS	*	
Examiner Cite No:1		Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code ³ - Number ⁴ - Kind Code ⁶ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T⁰
VV	AB	GB 1464248 A	02-09-1977	Imperial Chem Ind Ltd		
1	AC	DE 2801289 A1	05-03-1979	Hoechst AG		
	AD	WO 02/09716 A	02-07-2002	The Procter & Gamble Company		
	AE	WO 03/099286	12-04-2003	Bristol-Myers Squibb Co.		
W	AF	DE 10256264 A	06-24-2004	Boehringer Ingelheim Pharma GMBH & Co. Kg		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Cite the item (book, magazine, journal, s		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
KN	AG	Dumas, Donald J. "Total synthesis of peramine" Journal of Organic Chemistry, American Chemical Society, Easton, US, Vol. 5, 1988, pages 4650-4653, XP002087391.	
	АН	Database Biosis [Online] Biosciences Information Service, Philadelphia, PA, US; 1991, Bahaji E-H et al.: "Studies on Immunostimulating Derivatives Synthesis of Some Pyrrolo-1 2-C-Pyrimidines" XP002392081. Database accession no. PREV199192140000 abstract.	
	Al	Database Beilstein [online] Beilstein Crossfire Institut Zur Forderung Der Chemischen Wissenschaften, DE; 1991, XP002392082. Database Accession No. BRN 5340228 abstract.	
	ĄJ	Database Beilstein [online] Beilstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; Citation No. 5593678 1991, XP00239083.	
V	AK	Database CA [online] Chemical Abstract service, Columbus, Ohio, US; Reg No 102482-94-0 Liu, Gang: "Fungal endophyte-epichloe and its secondary metabolites" XP002392084. Database Accession No. 2004:340837 abstract.	

Examiner Signature	1/m/h	Date Considered	3/13/2007
- g	<u> </u>		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449A/PTO Complete if Known 10/809,637 Application Number INFORMATION DISCLOSURE March 24, 2004 Filing Date STATEMENT BY APPLICANT Jun FENG First Named Inventor 1624 **Group Art Unit** (use as many sheets as necessary) Kahsay Habte **Examiner Name** DPP4-5004-C2 Attorney Docket Number Sheet

	_		
KH	AL	Database Beilstein [online] Beilstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1924, XP002392085. Database Accession No. BRN 3799088 abstract.	
1	АМ	Database Beilstein [online] Beilstein Corssfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1989 XP002392088. Database Accession No. BRN 5951213 abstract.	
	AN	Database Beilstein [online] Beilstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1960 XP002392087. Database Accession No. BRN 609897 abstract.	
	AO	Database Beilstein [online] Beilstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1993 XP002392088. Database Accession No. BRN 6139401 abstract.	
	AP	Database Beilstein [online] Beilstein Crossfire Institut Zur Foererung Der Chemischen Wissenschaften DE; 1974 XP002392089. Database Accession No. BRN 514343 abstract.	
	AQ	Akahoshi, F. et al.: "Synthesis and pharmacological activitey of triazolo[1,5-a]triazine derivatives inhibiting eosinophilia." Journal of Medicinal Chemistry, Vol. 41, no. 16, (1998-07-30), pages 2985-2993, XP002390903	
	AR	Wang, F. et al.: "A novel Synthesis of Aryl[1,2-a]pyrazine Derivatives" Molecules, Molecular Diversity Preservation International, BASEL, CH, Vol. 9, May 2004 (2004-05), pages 574-582, XP002390904.	
	AS	Kotra, L. P. et al.: "4-Azido-2-pyrimidone Nucleosides and Related Chemistry" Journal of Organic Chemistry, American Chemical Society. Easton, US, Vol. 62, 1997, pages 7267-7271, XP002390905.	
	AT	Tam, S. Y-K, et al.: "Nucleosides 112. Synthesis of Some New Pyrazolo-1 5-A-1 3 5-Triazines and Their C Nucleosides" Journal of Organic Chemistry, Vol. 44, No. 25, 1979, pages 4547-4553, XP002390906.	
	AU	Molina, P. et al.: "Iminophosphorane-mediated annulation of 1,3,5-triazine to benzimidazole: Synthesis of 1,3,5-triazino[1,2-a]benzimidazoles" Synthesis 1992 Germany, No. 3, 1992- pages 297-302, XP002390907.	
	AV	Kobe, J. et al.: "The synthesis of s-triazolo[4.3-a]1,3,5-triazines" Tetrahedron, Elsevier Science Publishers, Amsterdam, NL, Vol. 26, July 1970 (1970-07), pages 3357-3368, XP002390908.	
	AW	Buysens, K. J. et al.: "Synthesis of New Pyrrolo[3,4-b]- and [3,4-c]pyridin(on)es and related 1,7-Naphthyridinones and 2,7-naphthyridines via intramolecular diels-alder reactions of 2(1H)-pyrazinones" Tetrahedron, Elsevier Science Publishers, Amsterdam, NL, Vol. 52, no. 27, (1998-07-01), pages 9161-9178, XP004104003.	
	AX	Abdel-Rahman, R. M.: "Synthesis of some new fluorine bearing trisubstituted 3-thioxo-1, 2, 4-triazin-5-ones as potential anticancer agents: Farmaco, Edizione Scientifica, Societa Chimica Italiana, Pavia, IT, Vol. 47, No. 3 (1992-03), pages 319-326, XP008000322.	
	AY	Borrell, J. I. et al.: "Synthesis, structure and cytotoxicity evaluation of palladium(II) complexes of 4-amino-3-hydrazino-1,2,4-triazi N-5(4h)-ones" Anales De Quimica, Vol. 91, no. 3/4, 1995, pages 243-252, XP008000323.	

Examiner Signature	4	- (my	M	•	Date Considered	3/	13/	12007	
SEVASABLED. Inte	-1:66	and dealers	hathar or not site	tion in in sonto	emonos with MDED (COO Denve li	an throu	ich citation if not in confor	han and

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

ADDRESS SEND TO. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 06651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

tk Reduction Act of 1995, no persons are required to respond to a collaction of information unless it contains a valid OMB control number.

	e for form 1449A/PTC)			Complete if Known.	
				Application Number	10/809,637	
INFO	RMATION	DIS	CLOSURE	Filing Date	March 24, 2004	
STA	TEMENT B	ΥA	PPLICANT	First Named Inventor	Jun FENG /	
•				Group Art Unit	1624	
(use as many sheets as necessary)				Examiner Name	Kahsay Habte /	
Sheet	T 1	of	2	Attorney Docket Number	DPP4-5004-¢2	

	U.S. PATENT DOCUMENTS /								
Everines	Cite	Document Number	Publication Date/	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant				
Examiner Cite Initials No.		Number - Kind Code ² (if known)	Issue Date MM-DD-YYYY	Onco occurrent	Passages or Relevant Figures Appear				
	AA	US 3,544,570	12-1-1970	Helmut Timmler et al.					

	FOREIGN PATENT DOCUMENTS /								
Examiner Initials*	O'A-	Foreign Patent Document Cite Publication		Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where				
	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Date MM-DD-YYYY	Document	Relevant Passages or Relevant Figures Appear	T ⁶			
	AB	GB 1464248 A	. 02-09-1977	Imperial Chem Ind Ltd					
	AC	DE 2801289 A1	05-03-1979/	Hoechst AG					
	AD	WO 02/09716 A	02-07-2002	The Procter & Gamble Company					
	AE	WO 03/099286	12-04-2003	Bristol-Myers Squibb Co.					
	AF	DE 10256264 A	06-24-2004	Boehringer Ingelheim Pharma GMBH & Co. Kg					

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²						
	AG	Dumas, Donald J. "Total synthesis of peramine" Journal of Organic Chemistry, American Chemical Society, Easton, US, Vol. 5, 1988, pages 4650-4653, XP002087391.							
	АН	Database Biosis (Online) Biosciences Information Service, Philadelphia, PA, US; 1991, Bahaji E-H et al.: "Studies on Immunostimulating Derivatives Synthesis of Some Pyrrolo-1 2-C-Pyrimidines" XP002392081. Database accession no. PREV199192140000 abstract.							
	Al	Database Beilstein [online] Beilstein Crossfire Institut Zur Forderung Der Chemischen Wissenschaften, DE; 1991, XP002392082. Database Accession No. BRN 5340228 abstract.							
	A)	Database Beilstein (online) Beilstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; Citation No. 5593678 1991, XP00239083.							
	AK	Database CA [online] Chemical Abstract service, Columbus, Ohio, US; Reg No 102482-94-0 Llu, Gang: "Fungal endophyté-epichloe and its secondary metabolites" XP002392084. Database Accession No. 2004:340837 abstract.							

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is In conformance with MPEP 609. Draw line through citation if not in conformance and not

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1459, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Deplicak

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete If Known			
				Application Number	10/809,637
INFORMATION DISCLOSURE			CLOSURE	Filing Date	March 24, 2004
STATEMENT BY APPLICANT		First Named Inventor	Jun FENG		
				Group Art Unit	1624
(use as many sheets as necessary)		Examiner Name	Kahsay Habte/		
Sheet	2	of	2	Attorney Docket Number	DPP4-5004-C2

AL	Database Beilstein [online] Bellstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1924, XP002392085. Database Accession No. BRN 3799088 abstract.	
AM	Database Beilstein [online] Beilstein Corssfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1989 XP002392086. Database Accession No. BRN 5951213 abstract.	
AN	Database Beilstein [online] Beilstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1960 XP002392087. Database Accession No. BRN 609897 abstract.	
AO	Database Belistein [online] Beilstein Crossfire Institut Zur Foerderung Der Chemischen Wissenschaften, DE; 1993 XP002392088. Database Accession No. BRN 6139401 abstract.	
АР	Database Beilstein [online] Beilstein Crossfire Institut Zur Foererung Der Chemischen Wissenschaften DE; 1974 XP002392089. Database Accession No. BRN 514343 abstract.	
AQ	Akahoshi, F. et al.: "Synthesis and pharmacological activitey of triazolo[1,5-a]triazine derivatives inhibiting eosinophilia." Journal of Medicinal Chemistry, Vol. 41, no. 16, (1998-07-30), pages 2985-2993, XP002390903	•
AR	Wang, F. et al.: "A novel Synthesis of Ary[1:2-a]pyrazine Derivatives" Molecules, Molecular Diversity Preservation International, BASEL, CH, Vol. 9, May 2004 (2004-05), pages 574-582, XP002390904.	
AS	Kotra, L. P. et al.: "4-Azido-2-pyrimidone Nucleosides and Related Chemistry" Journal of Organic Chemistry, American Chemical Society. Easton, US, Vol. 62, 1997, pages 7267-7271, XP002390905.	
AT	Tam, S. Y-K, et al.: "Nucleosides 112. Synthesis of Some New Pyrazolo-1 5-A-1 3 5-Triazines and Their C Nucleosides" Journal of Organic Chemistry, Vol. 44, No. 25, 1979, pages 4547-4553, XP002390906.	
. AU	Molina, P. et al.: "Iminophosphorane-mediated annulation of 1,3,5-triazine to benzimidazole: Synthesis of 1,3,5-triazino[1,2-e]benzimidazoles" Synthesis 1992 Germany, No. 3, 1992- pages 297-302, XP002390907.	
AV	Kobe, J. et al.: "The synthesis of s-triazolo[4.3-a]1,3,5-triazines" Tetrahedron, Elsevier Science Publishers, Amsterdam, NL, Vol. 28, July 1970 (1970-07), pages 3357-3388, XP002390908.	
AW	Buysens, K./J. et al.: "Synthesis of New Pyrrolo[3,4-b]- and [3,4-c]-pyridin(on)es and related 1,7-Naphthyridinones and 2,7-naphthyridines via intramolecular diets-alder reactions of 2(1H)-pyrazinones" Tetrahedron, Elsevier Science Publishers, Amsterdam, NL, Vol. 52, no. 27, (1998-07-01), pages 9161-9178, XP004104003.	
AX	Abdel-Rahman, R. M.: "Synthesis of some new fluorine bearing trisubstituted 3-thioxo-1, 2, 4-triazin-5-ones as potential anticancer agents: Farmaco, Edizione Scientifica, Societa Chimica Italiana, Pavia, IT, Vol. 47, No. 3 /(1992-03), pages 319-326, XP008000322.	
AV	Borrell, J. I. et al.: "Synthesis, structure and cytotoxicity evaluation of palladium(II) complexes of 4-amino-3-hydrazino-1,2,4-triazin-5(4h)-on es and 4-amino-3-(n-methylhydrazino)-1,2,4-triazi N-5(4H)-ones" Anales De Quimica, Vol. 91, no. 3/4, 1995, pages 243-252, XP008000323.	

Duplicate

$\overline{}$		
Examiner	Date	
Signature	Considered	· ·

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.